

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No. 41PR-7349 96-1165-A
Applicant(s): WHITEHEAD et al.			
Serial No. 09/431,737	Filing Date November 1, 1999	Examiner Thomas K. Pham	Group Art Unit 2121
Invention: MAN MACHINE INTERFACE FOR POWER MANAGEMENT CONTROL SYSTEMS			

I hereby certify that this Response to Telephone Request of September 30, 2003 (3 pages), Copy of Supplement to Previously Filed Petition dated August 21, 2003 (4 pages), Copy of Petition dated August 20, 2003 (6 pages), Copy of Response to Notice to File Corrected Papers dated August 20, 2003 (3 pages) is being facsimile transmitted to the United States Patent and Trademark Office (Fax no. 703-308-6642) to the attention of **JASON EADDY** on October 7, 2003.

Marianne S. Carello

(Typed of Printed Name of Person Mailing Correspondence)

Marianne S. Carello

(Signature of Person Mailing Correspondence)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Don Whithead et al.)
SERIAL NUMBER: 09/431,737) Group Art Unit: 2121
FILED: November 1, 1999) Examiner:
) Thomas K. Pham
FOR: MAN MACHINE INTERFACE)
FOR POWER MANAGEMENT)
CONTROL SYSTEMS)

RESPONSE TO TELEPHONE REQUEST OF SEPTEMBER 30, 2003

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Attn: Jason Eaddy

I hereby certify that this correspondence was facsimile transmitted (number 703-308-6642) to the United States Patent and Trademark Office on	
October 7, 2003 (Date of Deposit)	
Marianne S. Carello (Name of Person Mailing Paper)	
Marianne S. Carello	10/7/03
Signature	Date

Sir:

In response to your telephone request of September 30, 2003, Applicants respectfully submit copies of the Supplement to the Previously Filed Petition under 37 CFR 1.47(a) or in the alternative under 37 CFR 1.182, dated August 21, 2003; the Petition under 37 CFR 1.47(a) or in the alternative under 37 CFR 1.182, dated August 20, 2003 (hereinafter, the August 20 Petition); and the Response to the Notice To File Corrected Application Papers dated August 30, 2003, for the above-identified application.

The August 20 Petition described the process implemented by Applicants in a good faith effort to procure the full names of the three subject inventors. Through Applicants' efforts and the efforts of Applicants' attorneys, two of the three names were identified and submitted to the Commissioner for Patents on August 20, 2003, under

separate cover entitled Response to Notice To File Corrected Application Papers. The two full names that were provided were Subba Lakshmi (inventor #7) and Venkatarao Kandula (inventor #8). The August 20 Petition also described alternative search efforts that were being implemented by Applicants in a good faith effort to procure the full name of inventor #11.

The August 21 Supplement to Petition provided for the Examiner's record the additional information received regarding the full name of the third subject inventor (inventor #11), Kailash Marthi, and a more correct spelling for the name of inventor #7, Shubha Lakshmi, which were not included in the August 20th Petition and Response to Notice to File Corrected Papers.

Accordingly, the three inventor names are:

Shubha Lakshmi (inventor #7)

Venkatarao Kandula (inventor #8)

Kailash Marthi (inventor #11).

In view of the extreme and unusual circumstances relating to the full name identification of three inventors from India who have not been reachable since 1996, Applicants request that the present supplemental information also be considered fully and timely responsive to the July 21, 2003, Notice To File Corrected Application Papers.

Since the necessary petition fee of \$130.00 under 37 CFR 1.17(h) was paid in the August 20 Petition, Applicants believe that no other fees are required. However, in the event the Commissioner for Patents deems that any additional fee is required in connection with this application, Applicants' attorneys authorize that such fee be charged to Deposit Account No. 06-1130. In the event that a telephone conversation with Applicants' attorneys would help to resolve any outstanding questions, please direct any inquiries to the undersigned.

In the event that an extension of time is required, or may be required in addition to that requested in a petition for extension of time, the Commissioner is requested to grant a petition for that extension of time that is required to make this response timely and is hereby authorized to charge any fee for such an extension of time or credit any overpayment for an extension of time to the above identified Deposit Account.

Respectfully submitted,

CANTOR COLBURN LLP

Applicants' Attorneys

By: 

David Arnold
Registration No: 48,894
Customer No. 23413

Date: October 7, 2003
Address: 55 Griffin Road South, Bloomfield, Connecticut 06002
Telephone: (860) 286-2929
Fax: (860) 286-0115

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)Applicant(s): **WHITEHEAD et al.**

Docket No.

41PR-7349 (96-1165-A)

Serial No.

09/431,737

Filing Date

11/01/1999

Examiner

PHAM, Thomas K.

Group Art Unit

2121

Invention:

MAN MACHINE INTERFACE FOR POWER MANAGEMENT CONTROL SYSTEMS

I hereby certify that this **Supplement to Previously Filed Petition (3 pages), Return Postcard**
(Identify type of correspondence)
is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on **August 21, 2003**
(Date)

Marianne S. Carello

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)**Note: Each paper must have its own certificate of mailing.**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Don Whitehead et al.)
SERIAL NUMBER: 09/431,737) Group Art Unit: 2121
FILED: November 1, 1999) Examiner:
) Thomas K. Pham
FOR: MAN MACHINE INTERFACE)
FOR POWER MANAGEMENT)
CONTROL SYSTEMS)

SUPPLEMENT TO PREVIOUSLY FILED PETITION
UNDER 37 CFR 1.47(a) OR
IN THE ALTERNATIVE UNDER 37 CFR 1.182

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence was deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on	
August 21, 2003	
(Date of Deposit)	
Marianne S. Carello	
(Name of Person Mailing Paper)	
Marianne S. Carello	8/21/03
Signature	Date

Sir:

This supplement to the previously filed petition under 37 CFR 1.47(a) or in the alternative under 37 CFR 1.182, dated August 20, 2003, (hereinafter, the August 20 Petition) is further responsive to the Notice To File Corrected Application Papers dated July 21, 2003, issued in the above-identified application (hereinafter, the Notice). The Notice identified that inventors #7, #8, and #11, were identified by initials and not full names.

The August 20 Petition described the process implemented by Applicants in a good faith effort to procure the full names of the three subject inventors. Through

Applicants' efforts and the efforts of Applicants' attorneys, two of the three names were identified and submitted to the Commissioner for Patents on August 20, 2003, under separate cover entitled Response to Notice To File Corrected Application Papers. The two full names that were provided were Subba Lakshmi (inventor #7) and Venkatarao Kandula (inventor #8). The August 20 Petition also described alternative search efforts that were being implemented by Applicants in a good faith effort to procure the full name of inventor #11.

At 8:30 AM, August 21, 2003, Applicants' attorneys received an email from inventor #10 providing the full name of the third subject inventor (inventor #11), whose name is Kailash Marthi.

Additionally, at 10:15 AM, August 21, 2003, Applicants' attorneys received an email from an employee of General Electric Co. (GE) in India providing a more correct spelling for the name of inventor #7, which is Shubha Lakshmi.

Accordingly, the three inventor names are:

Shubha Lakshmi (inventor #7)

Venkatarao Kandula (inventor #8)

Kailash Marthi (inventor #11).

In view of the extreme and unusual circumstances relating to the full name identification of three inventors from India who have not been reachable since 1996, Applicants request that the present supplemental information also be considered fully and timely responsive to the July 21, 2003, Notice To File Corrected Application Papers.

Since the necessary petition fee of \$130.00 under 37 CFR 1.17(h) was paid in the August 20 Petition, Applicants believe that no other fees are required. However, in the event the Commissioner for Patents deems that any additional fee is required in connection with this application, Applicants' attorneys authorize that such fee be charged to Deposit Account No. 06-1130. In the event that a telephone conversation with Applicants' attorneys would help to resolve any outstanding questions, please direct any inquiries to the undersigned.

In the event that an extension of time is required, or may be required in addition to that requested in a petition for extension of time, the Commissioner is requested to grant a petition for that extension of time that is required to make this response timely and is hereby authorized to charge any fee for such an extension of time or credit any overpayment for an extension of time to the above identified Deposit Account.

Respectfully submitted,

CANTOR COLBURN LLP
Applicants' Attorneys

By: 

David Arnold
Registration No: 48,894
Customer No. 23413

Date: August 21, 2003
Address: 55 Griffin Road South, Bloomfield, Connecticut 06002
Telephone: (860) 286-2929
Fax: (860) 286-0115

Attorney Docket No.: 41PR-7349 (96-1165-A)

Applicant: WHITEHEAD et al.

Serial No.: 09/431,737

Filed: November 1, 1999

For: MAN MACHINE INTERFACE FOR POWER
MANAGEMENT CONTROL SYSTEMS

Certificate of Mailing (1 page); Supplement to Previously Filed
Petition (4 pages); Return Postcard;

Mailed to USPTO via First Class Mail on August 21, 2003

Received in the USPTO on:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)Applicant(s): **WHITEHEAD et al.**

Docket No.

41PR-7349 (96-1165-A)

Serial No.

09/431,737

Filing Date

11/01/1999

Examiner

PHAM, Thomas K.

Group Art Unit

2121

Invention:

MAN MACHINE INTERFACE FOR POWER MANAGEMENT CONTROL SYSTEMS

I hereby certify that this Petition (4 pages), Fee Transmittal (1 page), Check for \$130, Return Postcard
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Mail Stop Petition,
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 20, 2003
(Date)

Marianne S. Carello

(Typed or Printed Name of Person Mailing Correspondence)

Marianne S. Carello

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Don Whitehead et al.)
SERIAL NUMBER: 09/431,737) Group Art Unit: 2121
FILED: November 1, 1999) Examiner:
) Thomas K. Pham
FOR: MAN MACHINE INTERFACE)
FOR POWER MANAGEMENT)
CONTROL SYSTEMS)

PETITION UNDER 37 CFR 1.47(a) OR
IN THE ALTERNATIVE UNDER 37 CFR 1.182

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence was deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on	
August 20, 2003	
(Date of Deposit)	
Marianne S. Carello	
(Name of Person Mailing Paper)	
Marianne S. Carello	8/20/03
Signature	Date

Sir:

This is in response to the Notice To File Corrected Application Papers dated July 21, 2003 issued in the above-identified application, wherein Applicant requests reconsideration and waiver of the Formal requirement. The Notice identifies that inventors #7, #8, and #11, are identified by initials and not full names.

The present application includes 11 inventors, of whom 10 have been unreachable since at least June 2, 2000, including the 3 subject inventors (inventors #7, #8 and #11) presently identified by initials and not full names. The present application is a continuation application of parent U.S. Patent Application Serial No. 08/628,533, filed

April 3, 1996, which included a signed declaration from all 11 inventors. The inventorship in the present application is identical to the parent, but was filed with Missing Parts. On June 2, 2000, a Submission Of Declaration In Accordance With 37 CFR 1.63(d) And Petition According To 37 CFR 1.47(a) (hereinafter, Submission and Petition) was filed in the present application requesting acceptance of a copy of the parent declaration in lieu of a new declaration. Prosecution proceeded on the present case through Allowance until the subject July 21, 2003, Notice was received.

In preparation for the June 2, 2000, Submission and Petition, Applicants' attorneys mailed to their last known addresses all of the 10 unreachable inventors, including the three subject inventors, a copy of the specification along with unsigned Assignment and Declaration forms for them to sign and return. None of the 10 inventors responded. In the time period from April 3, 1996, through June 2, 2000, the three subject inventors were residing in India with no reasonable way to track them down.

The Submission and Petition filed June 2, 2000, also included a copy of a declaration executed by Don Whitehead, the sole available inventor at that time, with the signature blocks left blank to indicate that Mr. Whitehead had signed on behalf of the unavailable inventors in accordance with MPEP 409.03(a).

Since receiving the Notice To File Corrected Application Papers on July 24, 2003, Applicant has successfully identified two of the three missing inventor names as Subba Lakshmi (inventor #7) and Venkatarao Kandula (inventor #8), which have been submitted to the Commissioner for Patents in a separate paper in a Response To Notice To File Corrected Application Papers filed concurrently herewith. The process for identifying the names of inventors #7 and #8 involved: corresponding with a person in India who searched for and located the parents of inventor #10; procuring from the parents of inventor #10 contact information for inventor #10; contacting inventor #10 for information relating to the full names or whereabouts of inventors #7, #8, and #11; receiving from inventor #10 information relating to the full name of inventor #7; receiving from inventor #10 contact information relating to inventor #8; and, contacting inventor #8 for information relating to the inventor's full name. Efforts to apply the same process to identify the full name of inventor #11 have been unsuccessful to date.

Additional attempts have been made by Applicant to locate the full names of the three subject inventors in employment records, but Applicant has discovered that none of the three inventors are listed in any employment records available to Applicant. Recently, Applicants' attorneys have discovered from Applicant that the three subject inventors were hired, on or before April 3, 1996, through a consulting company in India, name unknown, to work for a Joint Venture (JV) company formed between General Electric Co. (GE) of the U.S. and Satyam of India, named Satyam-GE. The India portion of the JV has since been bought by GE, and it is unknown whether the consulting company is still in existence. Efforts are presently underway in an attempt to identify and locate the consulting company with the hope that they may still have employment records dating back to 1996. In addition, efforts are presently underway in an attempt to locate the three subject inventors by their last name and last known address as possibly listed in a telephone directory in India.

At present, the third subject inventor, inventor #11, is believed to be currently residing in India with an employer other than the noted consulting company being highly possible, and there does not appear to be any reasonable way to track that person down.

In view of the foregoing, Applicant respectfully requests that the name of the third subject inventor (inventor #11), with initials and signatures, be accepted as is, and that the application be allowed to issue.

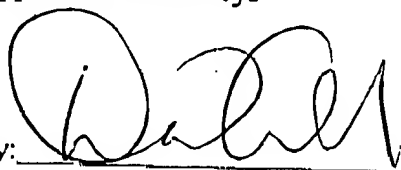
This petition is also accompanied by a Fee Transmittal indicating the inclusion of a \$130.00 fee in accordance with 37 CFR 1.47(a) or in the alternative 37 CFR 1.182, and 37 CFR 1.17(h). However, in the event the Commissioner of Patents deems that any additional fee is required in connection with this application, Applicants' attorneys authorize that such fee be charged to Deposit Account No. 06-1130. Please direct any inquiries to the undersigned.

In the event that an extension of time is required, or may be required in addition to that requested in a petition for extension of time, the Commissioner is requested to grant a petition for that extension of time that is required to make this response timely and is hereby authorized to charge any fee for such an extension of time or credit any overpayment for an extension of time to the above identified Deposit Account.

Respectfully submitted,

CANTOR COLBURN LLP

Applicant's Attorneys

By: 

David Arnold
Registration No: 48,894
Customer No. 23413

Date: August 20, 2003
Address: 55 Griffin Road South, Bloomfield, Connecticut 06002
Telephone: (860) 286-2929
Fax: (860) 286-0115

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 04/30/2003. OMB 0851-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**FEE TRANSMITTAL
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** \$130.00**Complete if Known**

Application Number	09/431,737
Filing Date	11/01/1999
First Named Inventor	Don Whitelend
Examiner Name	Thomas K. Plam
Group Art Unit	2121
Attorney Docket No.	41PR-7349 (96-1165-A)

METHOD OF PAYMENT (check all that apply)
☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account

Deposit Account Number

06-1130

Deposit Account Name

Cantor Colburn LLP

The Director is authorized to:

(check all that apply)

☐ Charge fee(s) indicated below☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing	
1005	180	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND

Extra Claims		Fee from below	Fee Paid
Total Claims	-20** = 0	X	0.00
Independent Claims	-3** = 0	X	0.00
Multiple Dependent			

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue Independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non - English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	830	2253	405	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	830	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130.00
1807	50	1807	50	Processing fee under 37 CFR § 1.17(q)	
1808	180	1808	180	Submission of Information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

\$130.00

SUBMITTED BY

Name (Print/Type)

David Arnold

Registration No.
(Attorney/Agent)

48,894

Complete (if applicable)

Telephone

(860) 286-2929

Signature

Date

August 20, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Attorney Docket No.: 41PR-7349 (96-1165-A)

Applicant: WHITEHEAD et al.

Serial No.: 09/431,737

Filed: November 1, 1999

For: MAN MACHINE INTERFACE FOR POWER

MANAGEMENT CONTROL SYSTEMS

Certificate of Mailing (1 page); Petition (4 pages); Fee

Transmittal (1 page); Check of \$130 for Petition; Return

Postcard;

Mailed to USPTO via First Class Mail on August 20, 2003

Received in the USPTO on:

51-57/119

36968
36968

PAY ***** One Hundred Thirty & 00/100 Dollars

**Commissioner of Patents and
Trademark Office
GEN-96-1165-A**

DATE	AMOUNT
08/20/03	*****130.00

W. O. Carter

AUTHORIZED SIGNATURE

036968 10190071 00168 89700 1873348

 Security features. Details on back.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)Applicant(s): **WHITEHEAD et al.**

Docket No.

41PR-7349 (96-1165-A)

Serial No.

09/431,737

Filing Date

11/01/1999

Examiner

PHAM, Thomas K.

Group Art Unit

2121

Invention:

MAN MACHINE INTERFACE FOR POWER MANAGEMENT CONTROL SYSTEMS

I hereby certify that this Response (2 pgs), Copy of Notice to File Corrected Papers (1 pg), Return Postcard
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Box Issue Fee,
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 20, 2003
(Date)

Marianne S. Carello

(Typed or Printed Name of Person Mailing Correspondence)

Marianne S. Carello

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: WHITEHEAD et al.)
SERIAL NUMBER: 09/431,737) Group Art Unit: 2121
FILED: November 11, 1999) Examiner:
FOR: MAN MACHINE INTERFACE FOR) PHAM, Thomas K.
POWER MANAGEMENT CONTROL)
SYSTEMS)

Box Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

In response to the Notice to File Corrected Application Papers dated July 21, 2003,
Applicants respectfully submit the full legal names for the 7th and 8th listed inventors.

The full name for Applicant No. 7 is Subba Lakshmi.

The full name for Applicant No. 8 is Venkatarao Kandula.

Enclosed herewith please find:

- 1.) A copy of the Notice to File Corrected Application Papers dated July 21, 2003

I hereby certify that this correspondence was deposited with the United States Postal Service as first class mail in an envelope addressed to Box Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on	
August 20, 2003 (Date of Deposit)	
Marianne S. Carello (Name of Person Mailing Paper)	
Marianne S. Carello	8/20/03
Signature	Date

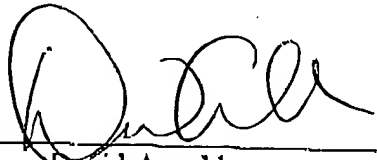
REMARKS

Applicant is filing concurrently herewith, but under separate cover, a Petition Under 37 CFR 1.47(a) or in the Alternative Under 37 CFR 1.182, requesting acceptance and allowance to issue of the instant application in its present form.

If there are additional charges with respect to this matter or otherwise, please charge them to Deposit Account No. 06-1130 maintained by Applicants' attorneys.

Respectfully Submitted,

CANTOR COLBURN LLP

By 
David Arnold
Registration No. 48,894
Customer No. 23413
Confirmation No. 9138

Date: August 20, 2003
Address 55 Griffin Road South, Bloomfield, CT 06002
Telephone: (860) 286-2929



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.govCANTOR COLBURN, LLP
55 GRIFFIN ROAD SOUTH
BLOOMFIELD CT 06002

Serial No. : 09/431737

Applicant : Don Whitehead et al
Filing Date : 11/01/1999
Date Mailed : 07/21/2003

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Notice of Allowance Mailed

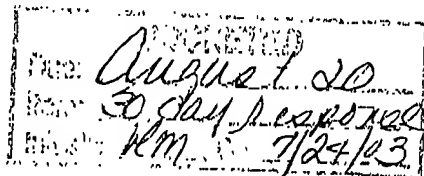
This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

Applicant is given 30 days from the mail date of this Notice within which to correct the informalities indicated below. A failure to reply will result in the application being ABANDONED. This period for reply is NOT extendable under 37 CFR 1.136 (a) or (b).

- Inventors: 7th, 8th, and 11th inventors identified by initials. Please supply at least one given name.
-

APPLICANT MUST SUPPLY FORMAL WITHIN 30 DAYS OF THE MAIL DATE OF THIS NOTICE.

A copy of this notice MUST be returned with the reply. Please address response to "Box Issue Fee".

J B Eaddy
Data Query
703 305 9858

Attorney Docket No.: 41PR-7349 (96-1165-A)

Applicant: WHITEHEAD et al.

Serial No.: 09/431,737

Filed: November 1, 1999

For: MAN MACHINE INTERFACE FOR POWER
MANAGEMENT CONTROL SYSTEMS

Certificate of Mailing (1 page); Response to Notice to File
Corrected Papers (2 pages); Copy of Notice to File Corrected
Papers (1 page); Return Postcard;

Mailed to USPTO via First Class Mail on August 20, 2003

Received in the USPTO on: